



Canada's Third Generation High Frequency Surface Wave Radar for Persistent Surveillance of the 200 Nautical Mile EEZ

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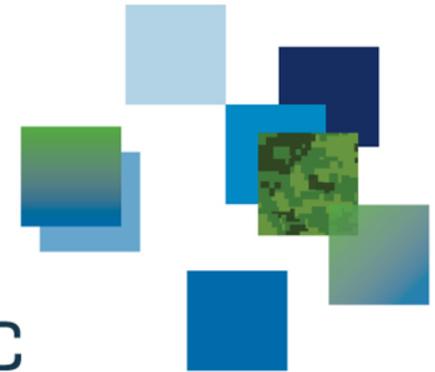
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High Frequency Surface Wave Radar (HFSWR)

■ Third Generation HFSWR

- Developed by Raytheon Canada under DRDC's Persistent Active Surveillance of the Exclusive Economic Zone (PASE) Project
- Provides persistent surveillance of surface vessels within Canada's Exclusive Economic Zone (EEZ)
- meets 2009 Industry Canada spectrum management guidelines for operation on a Non-Interfering Basis/Non-Protected basis
- operates with minimal risk of causing harmful interference
- provides enhanced detection and tracking performance for smaller vessels

Coverage of Canada's EEZ

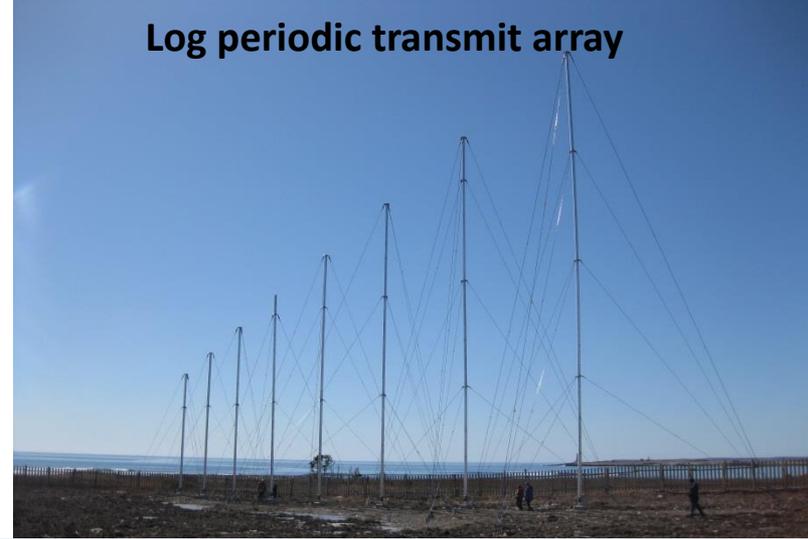


HFSWR electronics shelter and antenna arrays

Electronics shelter



Log periodic transmit array



Receive array



Vessel classes for evaluation with HFSWR

SMALL VESSEL : Fishing trawler



Tickled Pink
Dimensions: 13 m x 6 m

MEDIUM VESSEL: Supply vessel



Panuke Sea
Dimensions: 81 m x 16 m

LARGE VESSEL: Ocean-going cargo vessels



Valiant Ace
Dimensions: 200 m x 32 m



YM Great
Dimensions: 279 m x 40 m

HFSWR performance specification

| VESSEL TYPE | MAXIMUM DETECTION RANGE (km) | | |
|---|---|---|--|
| | Sea States 0-4* <i>0-15 knot wind</i> day/night | Sea States 5-6 <i>15-25 knot wind</i> day/night | Sea State 7 <i>25-35 knot wind</i> day/night |
|  Small Vessel | 230/210 | 75/75 | |
|  Medium Vessel | 300/220 | 300/220† | 180/180† |
|  Large Vessel | 370/260 | 370/260 | 370/260 |

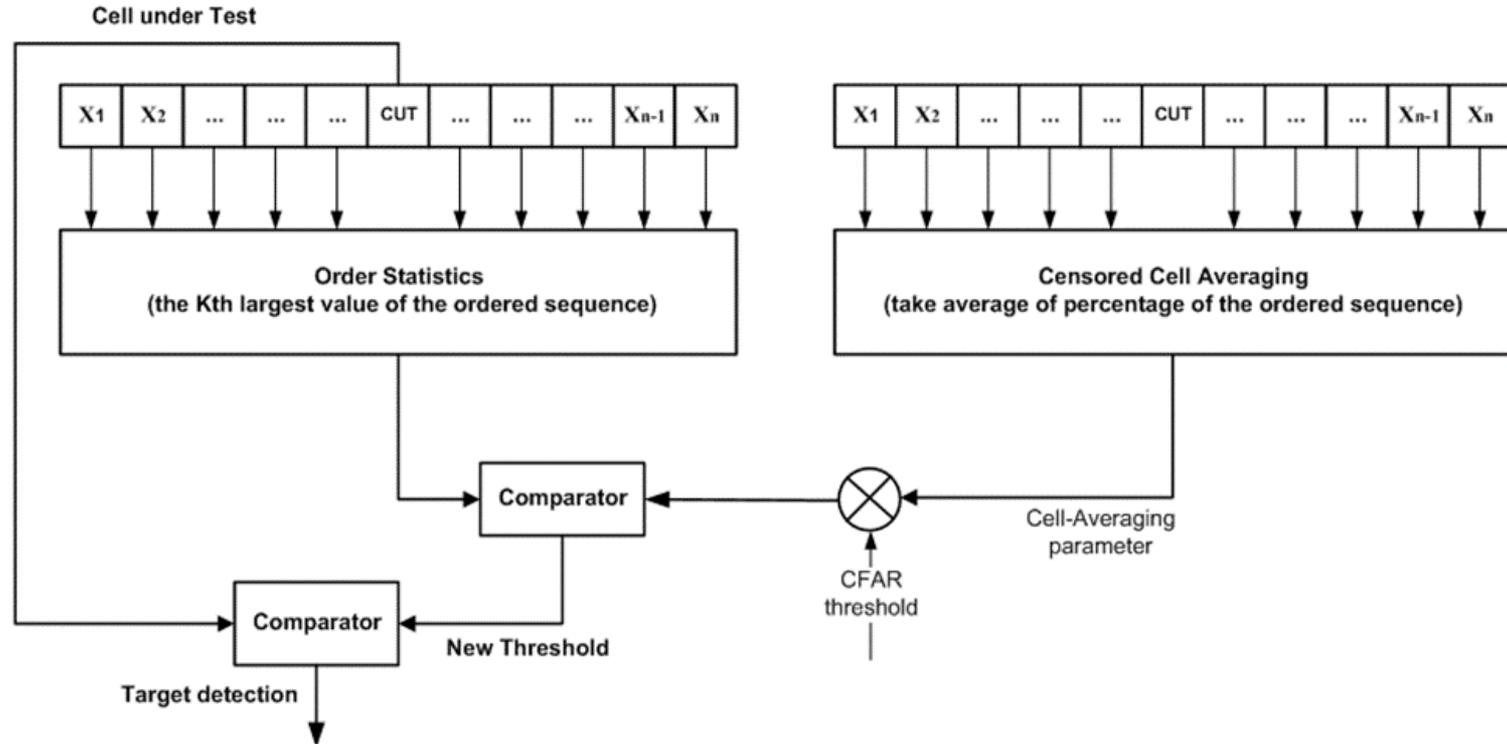
† These day time and night time detection ranges are approximately double those achieved with first-generation HFSWR technology

* Douglas sea scale

Adaptive Aspects of 3rd Generation HFSWR

- Detection: Adaptive Constant False Alarm Rate (CFAR)
 - Adaptive modifications to Ordered Statistics (OS) CFAR
- Tracking Techniques
 - Feature aided tracking
 - Adaptive track promotion logic
 - Enhanced tracking filters
- Spectrum Monitoring
 - Pro-active frequency decision logic

Hybrid CFAR



Baseline OS CFAR vs Adaptive OS CFAR

▪ **Baseline CFAR**

- The baseline PASE OSCFAR window of 3 Doppler cells by 33 Range cells is applied in all areas. OS CFAR has a rank order parameter of K_{os} of 45% and Threshold of 8 dB.

▪ **Adaptive CFAR Technique**

- A OSCFAR window of 7 Doppler cells by 13 Range cells is applied in all areas outside of the immediate Bragg clutter regions. OS CFAR parameters with K_{os} of 35% and Threshold of 8 dB are used.
- The Bragg peak power point is detected based upon expected locations which are deterministic from carrier frequency. All R-D cells within +/- 3 Doppler cells from peak have a 3 Doppler by 33 range cell window with K_{os} at 65% and Threshold of 12 dB.

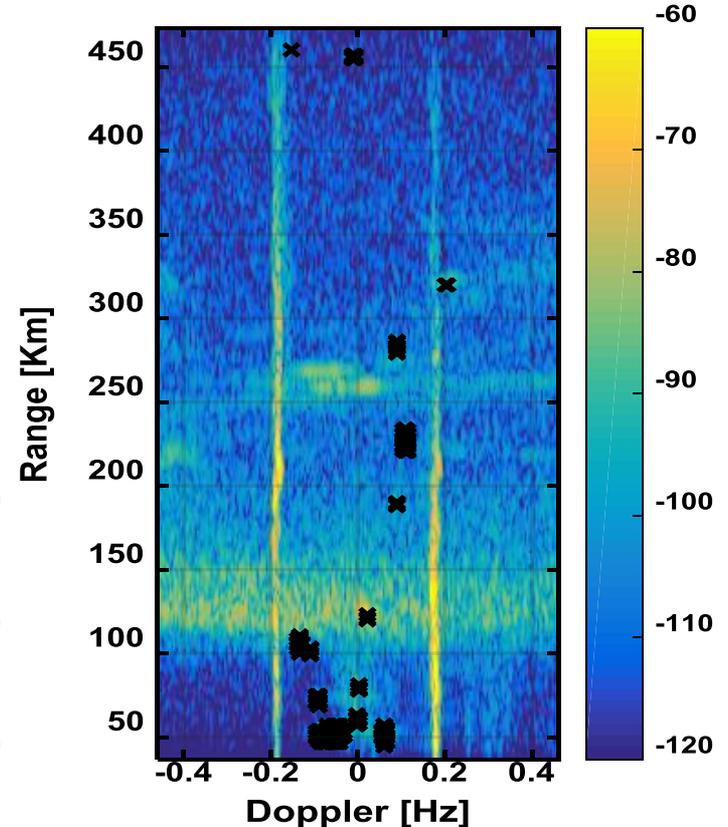
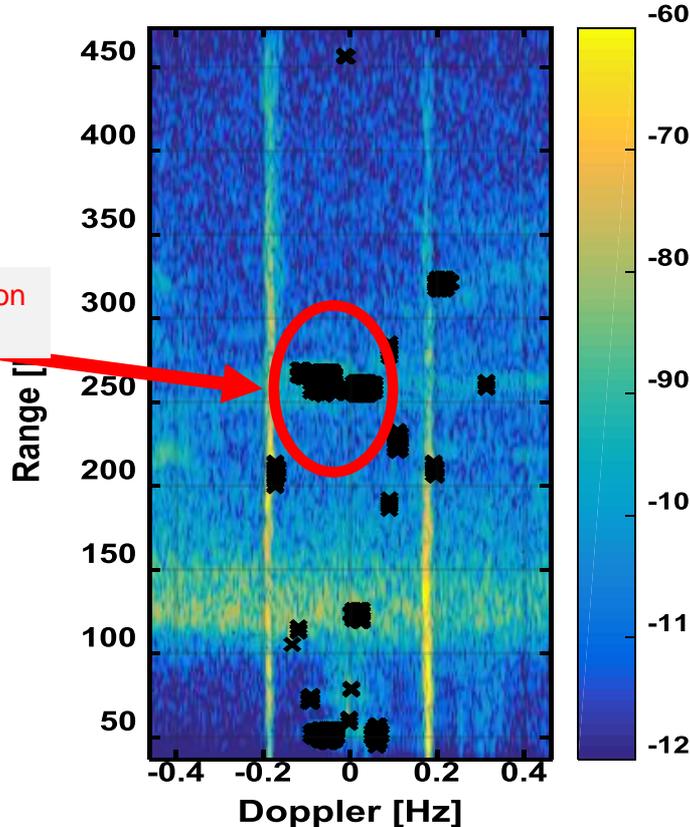
Baseline OS CFAR vs Adaptive OS CFAR

- Baseline OS CFAR setup on left (as used in PASE) with adaptive footprint OS CFAR on right.

Baseline OS CFAR AZ(BS) = 41.1 deg
20150420 1316z 3.176 MHz
OS: 33 R x 3 D, K= 45%, T=8 dB
Detection Count in Beam: 437

Adaptive OS CFAR AZ(BS) = 41.1 deg
20150420 1316z 3.176 MHz
OS: 13 R x 7 D, K= 35%, T=8 dB
Detection Count in Beam: 187

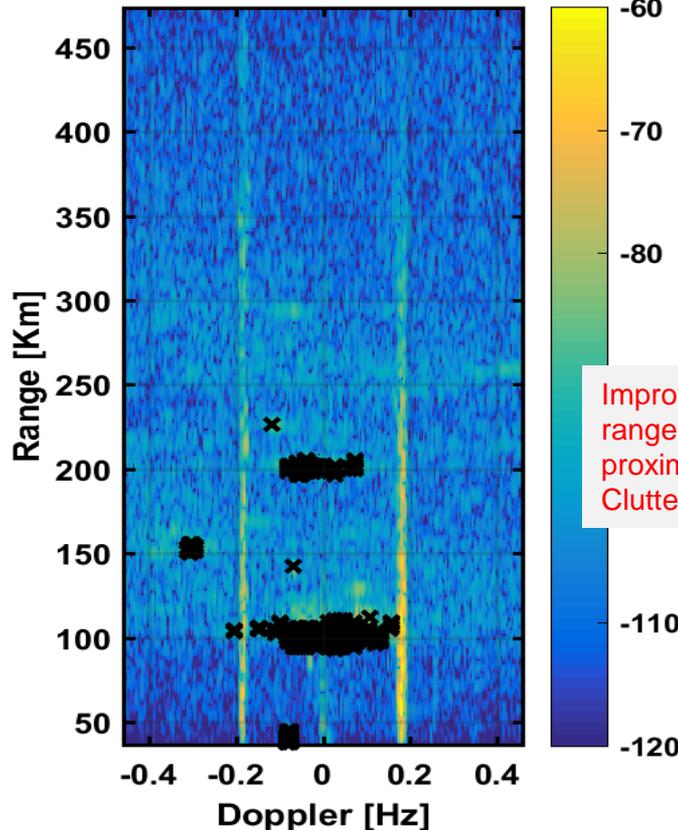
Eliminate hits on clutter



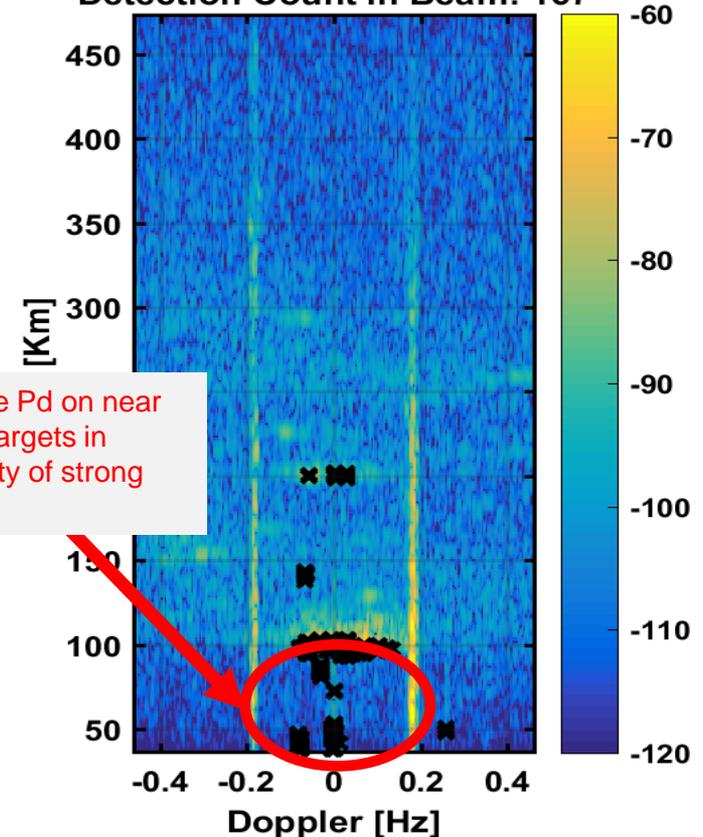
Baseline OS CFAR vs Adaptive OS CFAR

- Baseline OS CFAR setup on left (as used in PASE) with adaptive footprint OS CFAR on right.

Baseline OS CFAR Az(BS) = 64.4 deg
20150509 1440z 3.222 MHz
OS: 33 R x 3 D, K= 45%, T=8 dB
Detection Count in Beam: 435

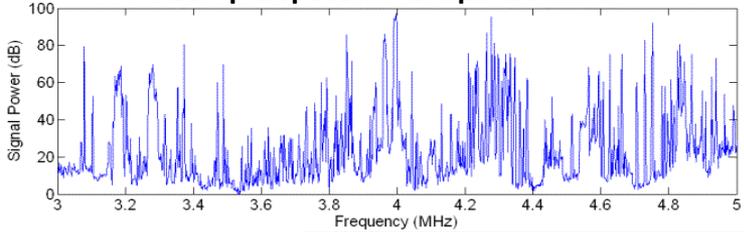


Adaptive OS CFAR Az(BS) = 64.4 deg
20150509 1440z 3.222 MHz
OS: 13 R x 7 D, K= 35%, T=8 dB
Detection Count in Beam: 167

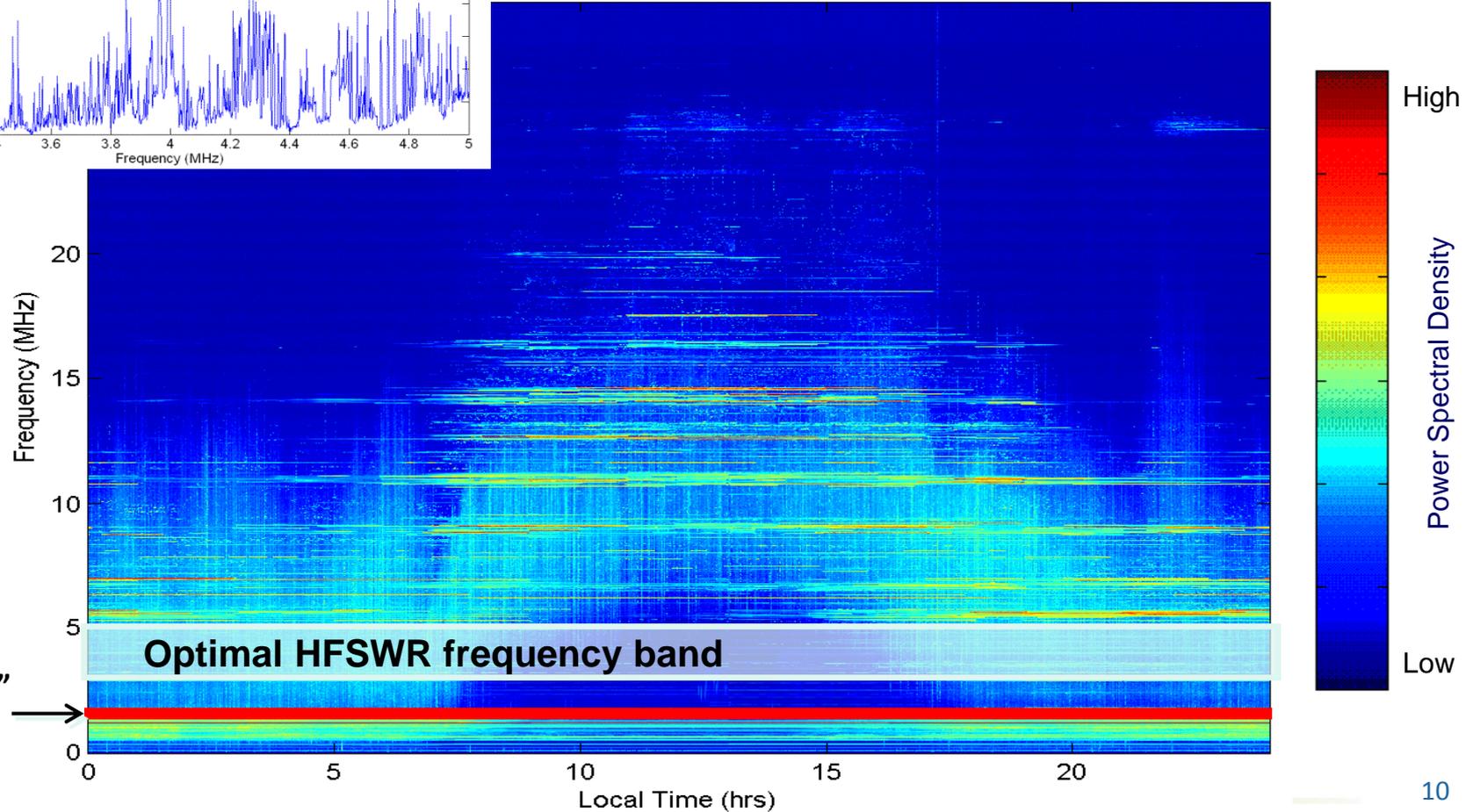


Skywave interference problem

Sample spectrum snapshot



Spectrum Monitor (Ottawa)



"Safe haven"
frequency →

PRISM - Intelligent Spectrum Management

Top level block diagram of Spectrum Management Processing – 3 prime components

1) Wide Band Monitoring and Occupancy Determination

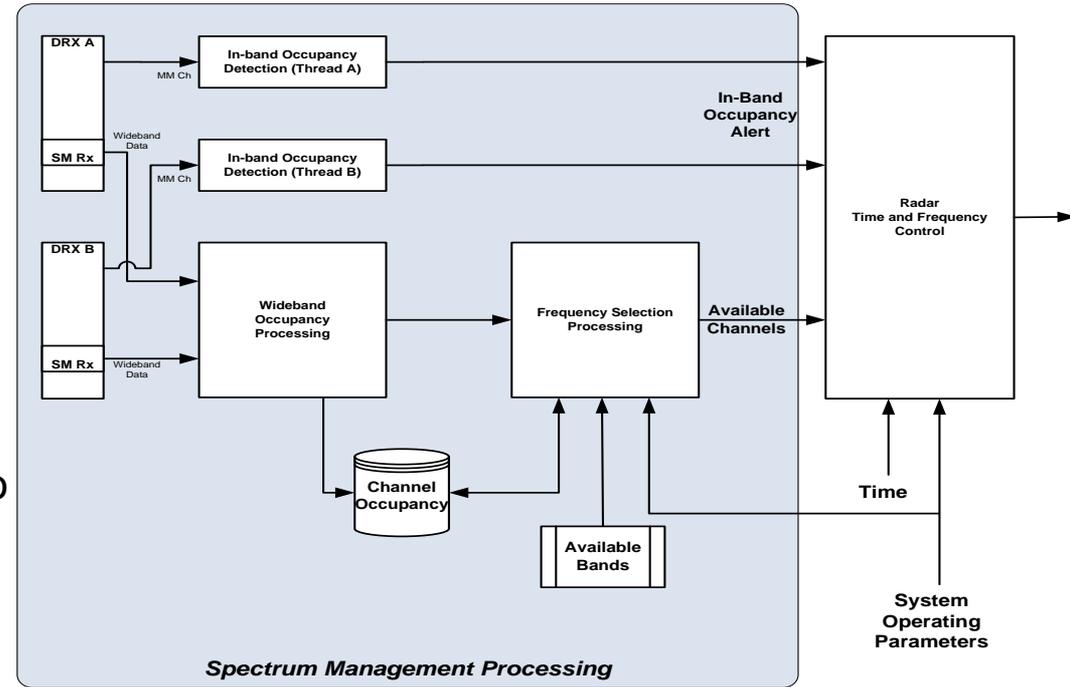
- Spectral processing of the operating band
- On whenever the Radar is Operational

2) In-Band User detection

- Operates whenever the radar has selected a frequency channel on which to operate or is operating on

3) Frequency Decision Logic

- Determines the optimum frequency of operation based upon a set of rules and occupancy statistics



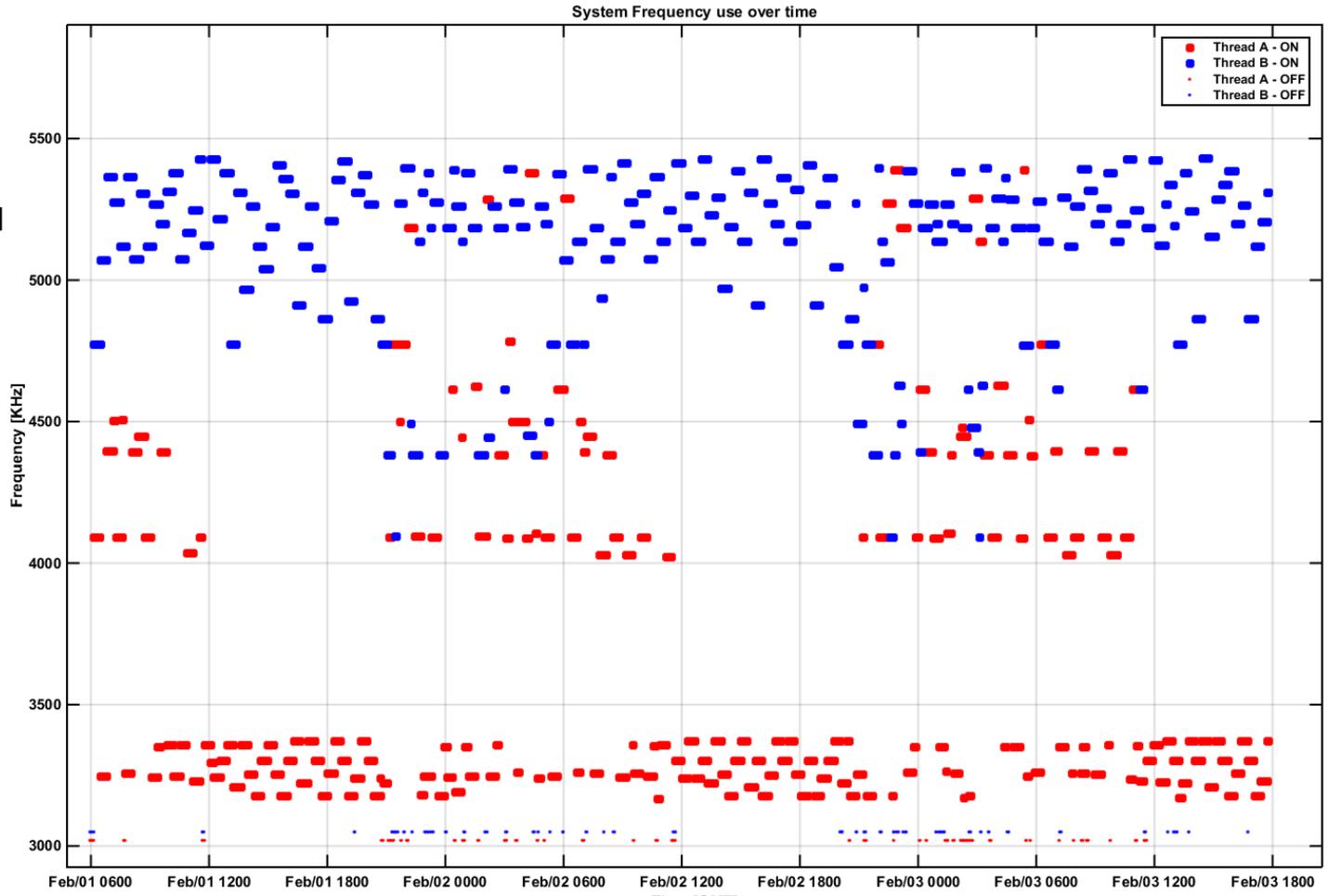
PRISM – Design Highlights

- Wideband ADC provide 2.5 MHz of spectral information per sweep (3 to 5.5 MHz)
- Wideband and in-band spectral processing sweep the entire band (3-5.5 MHz) at 240 sweeps/sec with frequency resolution of 500 Hz (Sweep rate is 2 msec).
- Two independent spectrum processing channels with separate antennae provide redundancy
- Past spectral use is monitored and used in ranking of open channels. Time depth of past use is adjustable but is typically 2-4 hours.
- Impulsive noise is removed
- In-band user detection is derived from mis-matched data channels.
- Adjustable thresholds and M of N sweep processing accurately measure past channel use. Thresholds typically set to 6 dB above estimated noise floor.
- Operational bandwidth is controlled by a family of waveforms. Typically 15 to 40 kHz in 5 kHz steps.

WIDE BAND DIGITAL DIRECT CONVERSION PROVIDE A RAPID SWEEP RATE

Example of frequency selection with spectrum management

Dates: Feb 1-3, 2015
Start time: 2:00 am local
Total duration: 58 hours



Conclusions

- Third Generation HFSWR provides persistent surveillance of surface vessels in Canada's EEZ.
- Adaptive OS-CFAR generates better estimates of interference levels to reduce false alarms caused by ionospheric interference and clutter.
- Intelligent spectrum management selects radar frequency of operation based on occupancy statistics and frequency decision logic.
- These techniques enhance detection performance in challenging interference environments, while operating on a non-interfering basis with other HF users.

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